**Conflict of Interest Annual Monitoring Report**

Discloser: [INSERT]

Department: [INSERT]

Year: [INSERT]

NOTE: This document refers to the external entity(ies) and conflict(s) of interest identified and described in the discloser’s conflict management plan.

1. Have you disclosed the conflict(s) of interest to all journals and in public presentations that feature research related to the conflict(s) of interest?  N/A  NO  YES

If you selected N/A or NO, please explain.  
  
[INSERT]

1. How have you notified students, postdoctoral associates, or other subordinates of the conflict(s) of interest?  N/A

[INSERT]

1. Please describe purchasing activities relevant to your conflict management plan:   
   1. Have there been any purchases from your external entity(ies)?  NO  YES
   2. Do you have a current Procurement Service Center (PSC) Conflict of Interest Form(s) on file? (<https://www.cu.edu/psc/forms/conflict-interest-evaluation-coi>)   
        N/A  NO  YES

If purchases have been made, who at CU makes those decisions and who authorized the purchases?  
[INSERT]

1. Does your current DEPA report accurately name all other University-affiliated colleagues, subordinates, and students who have a relationship with the external entity(ies)?  NO (Please update the DEPA report)  YES

1. Have you been involved in any financial decisions on behalf of the external entity(ies) as a result of your work at CU Boulder?  NO  YES   
     
   If yes, what kinds of decisions and was anyone else involved?

[INSERT]

1. Have conclusions of your CU Boulder research projects been financially advantageous to the external entity(ies)?  NO  YES  
     
   Have conclusions been financially detrimental to the external entity(ies)?  NO  YES

If yes to either question, please explain.  
  
[INSERT]

1. Regarding intellectual property and technology transfer:  
   1. Have you disclosed intellectual property to Venture Partners (formerly the Technology Transfer Office)?  N/A  NO  YES

b. Has there been a change in licensing status?  N/A  NO  YES   
  
For (a) and (b) please explain, as applicable:  
  
[INSERT]

8. Has there been a significant change in the discloser’s:

1. title/status/relationship with the external entity(ies)  NO  YES
2. business or research responsibilities at the external entity(ies)  NO  YES
3. status/title/appointment percentage at CU  NO  YES
4. research at CU relevant to the conflict(s) of interest  NO  YES

Please explain any YES answers.   
  
[INSERT]

**Certification**

I certify that the information I have provided is truthful, accurate, and complete. I acknowledge that the Department Chair/Director will receive a copy of this document.

Signature: Date: Click here to enter a date.